

# IHI: una prima panoramica della partecipazione ai primi bandi (1&2) e il nuovo bando Call 3

Alessio Bacchielli – Programme Officer - Call Coordinator  
Domenico Lalli – Legal Officer

24 Gennaio 2023 – La Settimana Horizon Europe

# Index

1. What is IHI
2. Call 3
3. From Call to grant award
4. Call timelines
5. Points to remember
6. Preliminary results Calls 1 and 2

# Innovative Health Initiative

Public private partnership between:

- the **European Union** represented by the European Commission &
- **Healthcare industry associations:**
  - **COCIR** (medical imaging, radiotherapy, health ICT and electromedical industries)
  - **EFPIA**, including **Vaccines Europe** (pharmaceutical and vaccine industries)
  - **EuropaBio** (biotechnology industry)
  - **MedTech Europe** (medical technology industry)

# IHI's General objectives

Through **cross sectoral, pre-competitive** collaboration:

- Turn health research and innovation into **real benefits for patients and society**
- Deliver health innovations that **cover the entire spectrum of care** particularly in **areas where there is an unmet public health need**
- Make Europe's health industries **globally competitive.**

# IHI Funding model

As a **public private partnership**, IHI's projects are co-funded by:

- **EU cash contributions**
  - Primarily supporting universities, research organisations, patient organisations, small and medium-sized enterprises (SMEs), and mid-sized companies.\*
- **IHI private members and contributing partners**
  - Must **provide at least 45% of total project eligible costs**, usually via researchers participating in the project
- The total budget for IHI for the period **2021-2027 is €2.4 billion.**
  - €1.2 billion comes from Horizon Europe, the EU's framework programme for research and innovation.
  - €1 billion will come from the IHI industry partners
  - €200 million will come from other life science industries or associations that decide to contribute to IHI as contributing partners.

\* Large companies can also receive JU funds in single calls



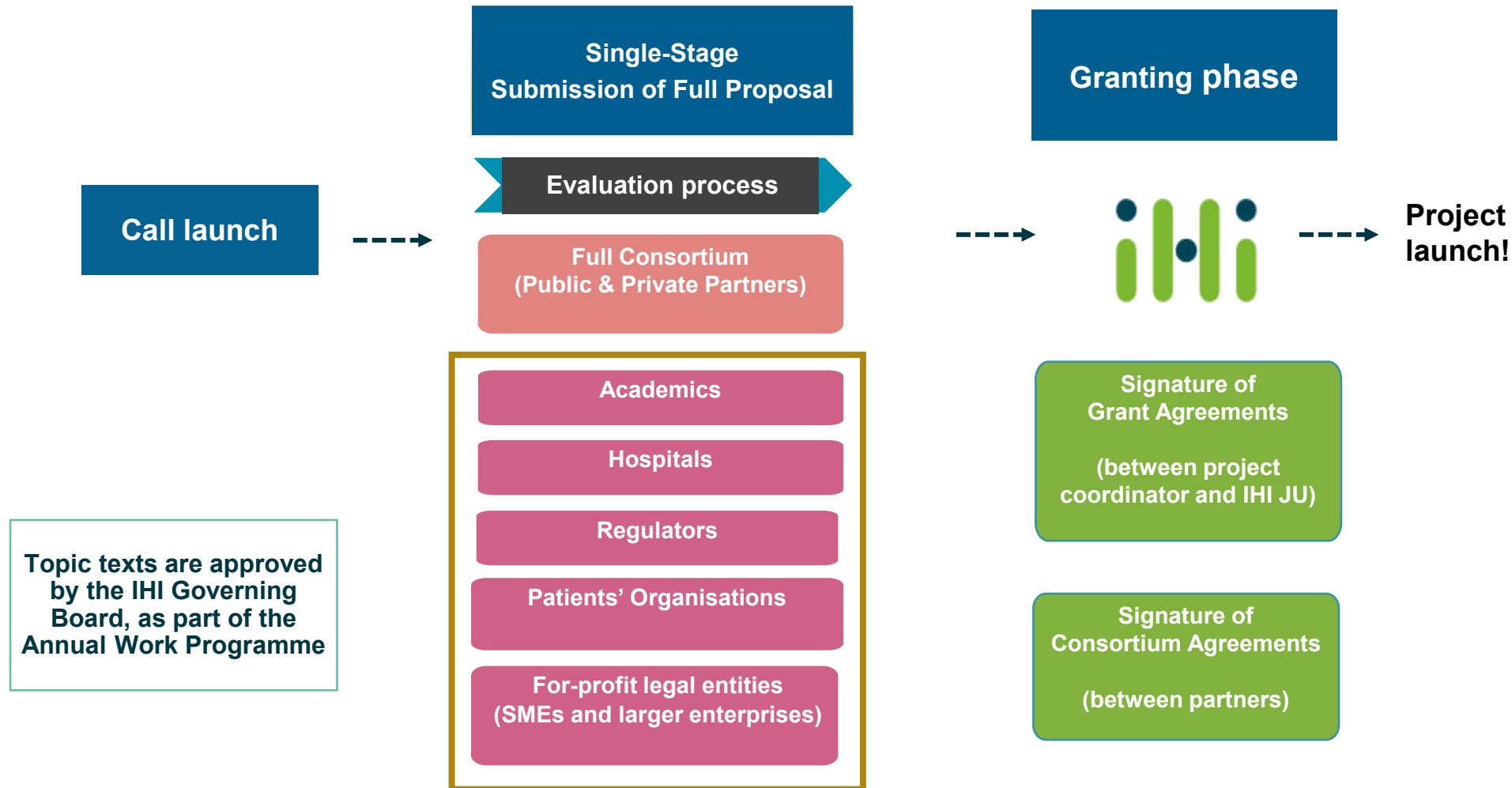
• Call 3

# Call 3

## Single-stage

- Open Call - Full Proposals (FP)
  - ✓ public and private actors self-assemble (such as academia, SMEs, large companies, regulators, etc.) and **compete** for funding
  - ✓ applicant consortia will be assessed on the basis of the required expertise to reach the objectives of the submitted proposals (specific requirement described in the call topic text, including the public-private partnership requirement).
  - ✓ applicants might need to involve participants from different health sectors to meet the specific objectives.

# How does IHI work? single-stage procedure



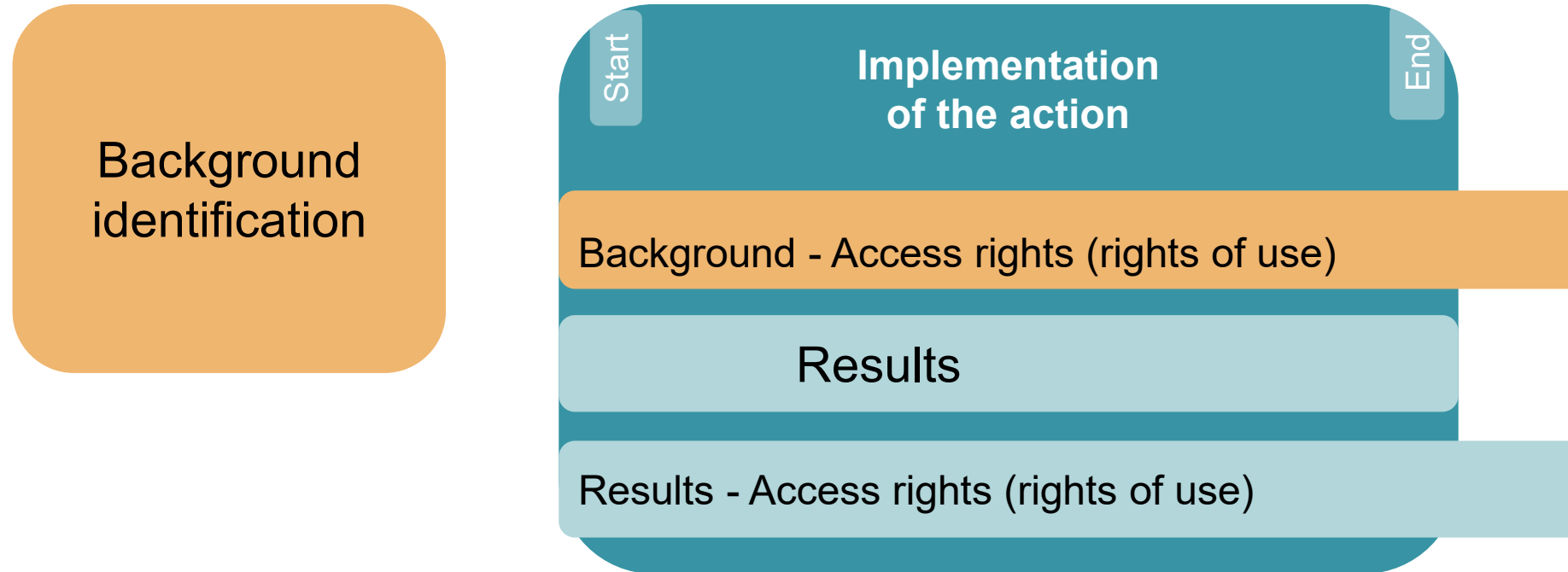


# Topics

Topic Title	Budget	Estimated n° of proposals to be funded	Topic flagged with 3A ( <i>Affordability, availability and accessibility of results</i> ) ?
Screening platform and <b>biomarkers</b> for prediction and prevention of diseases of <b>unmet public health need</b>	30 M€	Up to 2	YES
<b>Patient-generated evidence</b> to improve outcomes, support decision making, and accelerate innovation	24 M€	Up to 2	NO
Combining <b>hospital interventional</b> approaches to improve patient outcomes and increase hospital efficiency	30 M€	Up to 3	NO
Strengthening the European translational research ecosystem for <b>advanced therapy medicinal products (ATMPs)</b> for <b>rare diseases</b>	30 M€	Up to 2	YES
<b>Digital health</b> technologies for the prevention and personalised management of <b>mental disorders</b> and their long-term health consequences	24 M€	Up to 3	YES

All topics are Research Innovation Actions

# Snapshot of key IP concepts for IHI Actions



**Background:** As defined in the MGA (Article 16.1), “‘Background’ means any data, know-how or information — whatever its form or nature (tangible or intangible), including any rights such as intellectual property rights — that is:

- (a) held by the beneficiaries before they acceded to the Agreement and
- (b) needed to implement the action or exploit the results”.

**Results:** any tangible or intangible effect of the action, such as data, know-how or information, whatever its form or nature, whether or not it can be protected, as well as any rights attached to it, including intellectual property rights

**Access Rights:** define who and when can use Background and Results

# Key contractual documents for IHI Act



## *IHI Grant Agreement*

- The new Horizon Europe Model Grant Agreement 'HE MGA' includes 44 articles, as well as specific Annexes.
- IHI JU follows HE MGA with IHI JU specificities reflected in Annex 5.
- It is e-signed between IHI JU and Coordinator only. **Other beneficiaries e-sign Accession Forms.**

## *Consortium Agreement for IHI Actions*

- Contractual arrangement between all participants to set out their rights and obligations, especially governance, liability and IPR.
- IHI JU is not a party/signatory.
- Shall comply with the HE MGA.
- To be fully agreed before the signature of the GA.
- To be adapted to the specific needs of each IHI JU action
- Suggested templates are available in the IHI website (templates provided by private members, DESCA (Development of a Simplified Consortium Agreement)).

- 
- From Call to grant award

# Evaluation criteria and thresholds

- Minimum of 3 independent experts
- Each proposal evaluated 'as it is', not as 'what could be'
- Sub-criteria listed in evaluation form

Criteria	Threshold
Excellence	3/5
Impact	3/5
Implementation	3/5
<b>TOTAL</b>	<b>10/15</b>

# Evaluation Criteria (1/2)

- **Excellence**

- **Clarity and pertinence of the project's objectives, and the extent to which the proposed work is ambitious, and goes beyond the state of the art.**
- Soundness of the proposed methodology, including the underlying concepts, models, assumptions, interdisciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, and the quality of open science practices, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.

- **Impact**

- Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions due to the project.
- Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.

# Evaluation Criteria (2/2)

- **Quality and efficiency of the implementation**
  - Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall
  - Capacity and role of each participant, and extent to which the consortium as a whole brings together the necessary expertise.

# Proposal templates and annexes

- **Proposal template – Part A** (generated by the IT system - includes information on the proposal, details of the participants, the budget, information on ethics and security, information on clinical studies).
- **Proposal template – Part B** (narrative part - pdf to be uploaded into the portal). (compulsory - max 50 pages)
- **Annex: Type of Participants** (compulsory annex)
- **Annex: Declaration of in-kind contribution commitment** (compulsory annex)
- **Annex: In-kind contributions to additional activities** (optional annex)
- **Annex: Essential information for clinical studies** (recommended annex)
- **Annex: Ethics** (no template) - provide more information, if necessary, that goes beyond the ethics self-assessment in Part A (optional annex)
- **Budget Tool** (***NB: not to be submitted***)– excel table to check the proposal budget table is completed correctly and that proposal's budget meets the 45 % eligibility threshold





● Timelines

# Call 3 – single stage – Indicative timelines





- Points to remember

# Points to remember

- Read all the call-relevant material: [www.ih.europa.eu](http://www.ih.europa.eu)
- Begin forming your consortium early. Read the topic text. Check the IHI website and F&T Portal.
- Ensure that all information is explicitly described in order to allow the expert-evaluators to assess against the evaluation subcriteria. **Respect the page limit.**
- Use the proposal structure / template - pay special attention on how to complete your ethics self-assessment.
- Plan the 45% In kind contribution, possibly **aiming at 50%**. This is the responsibility of the entire consortium. Use the budget tool to prepare and correct the budget
- Complete accurately the researchers table (Proposal Part A) and ensure a gender balance. This might impact the proposal ranking in case of equal scores
- Don't forget the Gender Equality Plan (GEP) is an eligibility criterion
- Coordinator must be financially viable – check in advance
- Contact the IHI Office (NOT industry topic writers): [applicants@ih.europa.eu](mailto:applicants@ih.europa.eu)

# Your contact points

## At the IHI Programme Office

General queries: [applicants@ihi.europa.eu](mailto:applicants@ihi.europa.eu)

## State Representative Group (SRG)

The main States Representatives appointed to IHI JU SRG (the main delegates, but not the alternates) are on our website here:

<https://www.ihi.europa.eu/about-ihi/who-we-are/states-representatives-group>.

- 
- Results of Call 1 and 2

# Call 1 results

Call for proposals: HORIZON-JU-IHI-2022-01-single-stage

Published: 28/06/2022

Deadline: 20/09/2022

Available budget: EUR 95.000.000 €\*

The results of the evaluation are as follows:

- Number of proposals submitted: 18
- Number of inadmissible proposals: 0
- Number of ineligible proposals: 5
- Number of above-threshold proposals: 6
- Total budget recommended for above-threshold proposals: EUR 85.312.806,62

\*Following the adoption by the IHI JU Governing Board on 08/12/2022 of the 2022 Second Amended Work Programme, the budget available for this HORIZON-JU-IHI-2022-01-single-stage Call has been amended from 135.000.000 € to 95.000.000 €.

# Call 2 results

Call for proposals: HORIZON-JU-IHI-2022-01-single-stage

Published: 28/06/2022

Deadline: 20/09/2022

Available budget:

- From the IHI JU: EUR 21.929.000
- From industry partners and contributing partners: EUR 21.929.000

The results of the evaluation are as follows:

- Number of proposals submitted: 15
- Number of inadmissible proposals: 0
- Number of ineligible proposals: 0
- Number of above-threshold proposals: 11
- Total budget recommended for above-threshold proposals: EUR 117.011.877,5



# Further reading and references

- IHI Call Days - call 3 – [recordings and presentations of Call 3 webinars](#)
- [IHI Guide for applicants](#)
- [Call documents page](#)
- [Horizon Europe Main Work Programme 2021–2022 – 13. General Annexes](#)
- [Horizon Europe Programme Guide](#)



Thank you for your attention

**ihi.europa.eu**

If you have additional questions, please write to  
[infodesk@ihi.europa.eu](mailto:infodesk@ihi.europa.eu)





- Background slides