Le regole di partecipazione alla Partnership IHI e il budget della proposta: focus sui bandi Single Stage

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Entities eligible to participate

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Attracting stakeholders

Any legal entity, regardless its place of establishment, carrying out work relevant to the Call objectives may be part of applicant consortia

But… not all participating entities are eligible for funding

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Eligibility

Consortium composition (collaborative projects)

- at least **one independent legal entity** established in a **Member State**, and
- at least **two other independent legal entities** each established either in a **different Member State** or an **Associated Country**.

EU COUNTRIES

- Member States (MS) including their outermost regions
- The Overseas Countries and Territories (OCTs) linked to the MS.

NON-EU COUNTRIES

- Countries associated to Horizon Europe (AC)
- Low and middle income countries: See HE Programme Guide.

COUNTRIES ASSOCIATED TO HORIZON EUROPE

- Association agreements produce legal effects
- Transitional arrangements

- UK is treated as an associated country throughout the process **BUT** Grant agreements can **only** be signed if the association agreement has come into force.
- Switzerland is currently not covered by the transitional arrangement.

NB: due to the military aggression to Ukraine, Legal entities established in Russia, Belarus, or in non-government controlled territories of Ukraine are not eligible to participate in any capacity

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Entities eligible for funding

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Eligibility rules: funding

Any legal entity established in a Member State, Associated Country or Low and Middle Income Countries

See Horizon Europe programme guide

Third country to Horizon Europe legal entities are normally not eligible for receiving IHI funding

exceptional funding (recommended by experts evaluators) when it considers that their participation is essential

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Eligibility for funding in single-stage Calls

Non-profit organisations

Academics

Regulators

NGOs

Patients' Organisations

Hospitals

research organisations

intergovernmental organisations

For-profit legal entities (both SME and larger enterprises)

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Funding rules

IHI JU Specific types of Participants

- **Private member** means any legal entity established under public or private law (including constituents and/or affiliates) that is member of one of the Industry Associations.
- **Constituent entities** means the entities that constitute a private member of a joint undertaking, where the private member is an association according to that member's statutes.
- **Affiliated entity** means the entity that has a capital or legal link with a beneficiary (which is in this case a private member or a private member constituent entity)
- **Contributing Partner** means legal entities interested in supporting IHI JU's objectives in their specific areas of research, without becoming a member; it participates in the Consortium and provides in kind or financial contributions but is not providing in-kind contributions to additional activities (IKAA)

Contributing partners **should submit a letter to the Governing Board of the IHI JU before the call submission deadline.** Template application letters and more information on contributing partners can be found on the IHI website.

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Contribution types

	Private members	Contributing Partners
• **IKOP** - In-kind contributions to operational activities Eligible costs incurred in implementing an IHI project Can be Non-EU IKOP or EU IKOP		
• **FC** - Financial contributions Cash contributions to project beneficiary(ies) from the same consortium to support eligible costs for project implementation		
• **IKAA** - In-kind contributions to Additional Activities Costs for implementing additional activities		

Private members, their constituents or affiliated entities can contribute IKOP, IKAA and FC.

Contributing partners can contribute IKOP and FC, not IKAA.

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45% Eligibility Criterion

- Costs related to contributions (IKOP, IKAA and/or Financial contribution) provided by IHI JU private members and IHI JU Contributing partners, their constituent entities and affiliated entities if any, shall amount to at least **45% of the sum of [total project eligible costs plus IKAA].**
- **Reminder: Contributing Partners can not provide IKAA**
- Coordinator of (full) proposal must submit a Declaration to confirm that the required 45% contributions will be provided

IKOP + IKAA + FC must be ≥ 45% of (Project eligible costs + IKAA)

IHI budget tool to verify if your proposal reaches 45% click here

If the 45% Industry contribution is not secured collectively by the private member participants, the proposal will be declared ineligible and therefore will not be evaluated

IHI JU office will verify the 45% contributions prior to the GA signature

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45% Eligibility Criterion

- While the minimum threshold for proposals to be considered eligible is set at 45%, **consortia are strongly encouraged to aim at having 50%** of the action's eligible costs and costs for action-related additional activities provided by the industry consortium participants.

- This prudent approach is to ensure the 45% threshold is maintained during the project implementation (eligibility criterion), despite any possible changes in the consortium composition and/or in the total contributions from IHI private members and/or Contributing Partners.

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Budget table

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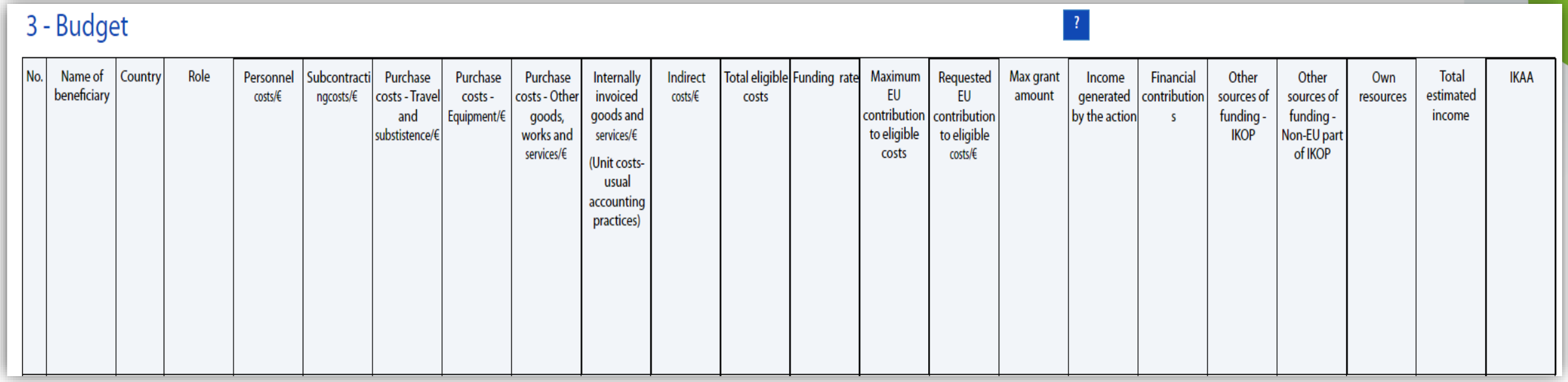
Budget Table (IHI Call 3)



3 - Budget

No.	Name of beneficiary	Country	Role	Personnel costs/€	Subcontracting costs/€	Purchase costs - Travel and substistence/€	Purchase costs - Equipment/€	Purchase costs - Other goods, works and services/€	Internally invoiced goods and services/€ (Unit costs-usual accounting practices)	Indirect costs/€	Total eligible costs	Funding rate	Maximum EU contribution to eligible costs	Requested EU contribution to eligible costs/€	Max grant amount	Income generated by the action	Financial contributions	Other sources of funding - IKOP	Other sources of funding - Non-EU part of IKOP	Own resources	Total estimated income	IKAA

Screenshot from the proposal submission environment

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Budget Table

For private members and contributing partners

Only for private members

No.	Name of beneficiary	Country	Role	Personnel costs	Subcontracting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirect costs	Total eligible costs	Funding rate	Maximum EU contribution to eligible costs	Requested EU contribution to eligible costs	Income generated by the action	Financial contributions	IKOP In-kind contributions for operational activities	Non-EU IKOP	Own resources	Total estimated income	IKAA In-kind contributions for additional activities
						Travel and subsistence	Equipment	Other goods, works and services													

Estimated eligible costs

Estimated JU contribution and other sources of financing

IHI's specificities

For all participants

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Estimated eligible costs

Total project eligible costs

Incurred by entities

Requesting funding Only
(sme, non-profit,…)

Hybrid:
Contributing in-kind (IKOP) and/or cash and Requesting funding
(private members, contributing partners)

Not requesting funding but contributing in-kind (IKOP) and/or cash
(private members, contributing partners)

Costs reimbursed

Costs not reimbursed

Costs covered by

Public funds mainly
Cash (EC)

Private funds
(private members, contributing partners)

IKAA
Not project eligible costs

(private members only)

Co-funding principle

Types of costs categories

Personnel costs	Subcontracting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirect costs	Total eligible costs
		Travel and subsistence	Equipment	Other goods, works and services			

All costs must fulfill cost eligibility criteria (Art 6 MGA):

actual/real, recorded in the accounts, foreseen in the budget, necessary for the action, documented/auditable, etc.

Only eligible costs can be reimbursed or be considered as in-kind contribution (IKOP)

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How are participants costs covered?

- Reimbursed by IHI (= JU contribution)?
- Covered with own resources?
- Covered by financial contributions received (other than from IHI)? (e.g: from private member/contributing partner/other source)

Several scenarios possible

- Can be with only one source of financing (e.g: only JU contribution)
- Can be with a combination
- Can be none (e.g: providing only IKOP)

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Estimated JU contribution and other sources of financing

JU Contribution

- Participants eligible for funding
  - Beneficiaries request full reimbursement (SMEs, universities, hospitals,…)
  - Hybrid participants: can request some funding but keeping in mind 45% contribution should be reached collectively + it will decrease the funding available for the other participants.

- Participants not eligible for funding → make sure no JU contribution is requested (even if submission tool allows it!)

Who gets what part of funding?
Important to discuss and agree among participants

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Costs covered by financial contributions (FC)

- Amount/cash expected to be received for the project implementation, other than the JU contribution
- FC can be paid by private members, contributing partners or other entities and from inside or outside the consortium
- Any participant can receive a FC
- In Proposal PART B (table 3.1k): Financial contributions to be explained:
  - private member/contributing partner/other entity paying the FC,
  - the FC amount,
  - the beneficiary receiving the FC and
  - the concerned project activities to carry out with the received FC

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Costs covered with own resources

- Amount expected of own resources the participant plans to use for the implementation of the project
- The participant's costs that are not reimbursed (not covered by JU contribution or financial contribution or both)
- Always zero for private members and contributing partners (own resources = IKOP)

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IHI specificities

IHI Private members (PM)

- **Four** Industry trade associations

efpia

Vaccines Europe
An industry for healthy lives

MedTech Europe
from diagnosis to cure

EuropaBio

COCIR
Advancing Healthcare

- "Constituent entities": members of these associations
  (pay membership fees)

- Affiliated entities of the above entities (capital or legal link)

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Contributing Partners (CP)

- Any organisation that wants to contribute to the IHI objectives without becoming a full member can apply
- 'Application letter' to be sent to IHI Office via Infodesk@ihi.europa.eu (sent prior to proposal' submission deadline)
- In letter: commit a certain contribution to a particular Call and topic
- To be approved by the IHI Governing Board

➢ How to become Contributing partner? Check IHI website

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Contribution types

	Private members	Contributing Partners
• **IKOP** - In-kind contributions to OPerational activities Eligible costs incurred in implementing an IHI project Include EU and non-EU IKOP		
• **FC** - Financial contributions Cash contributions to project beneficiary(ies) to support eligible costs for project implementation		
• **IKAA** - In-kind contributions to Additional Activities Costs for implementing additional activities		

Private members can contribute IKOP, IKAA and FC.

Contributing partners can contribute IKOP and FC, not IKAA.

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IKOP

- IKOP = Eligible costs for the action - requested JU contribution
- Only for private members and contributing partners
- Companies providing IKOP are subject to the same rights and obligations as any other beneficiary signing the GA

- Same cost eligibility criteria (Art 6 of MGA)
- Same costs types allowed
- Same rules for project reporting …

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Non-EU IKOP

- IKOP incurred in third countries OUTSIDE the EU or Horizon Europe associated countries

- the EU: 27 countries
- Third Countries Associated (AC) to Horizon Europe: (15.09.2022)
  - 15 HE associated countries: Albania, Armenia, Bosnia and Herzegovina, Georgia, Iceland, Israel, Kosovo, Moldova, Montenegro, North Macedonia, Norway, Serbia, Tunisia, Turkey, Faroe Islands, Ukraine
  - Ongoing agreements: Morocco, United Kingdom

➢ IKOP incurred in US, Switzerland, UK… is 'Non-EU' IKOP

➢ If agreement reached for UK before GA is signed: EU IKOP

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Financial contributions (FC)

- Cash paid to a participant to support (a part of) its project eligible costs
- Count for the 45% threshold if
  - the FC is paid by a private member or a contributing partner, and
  - the recipient of the FC is eligible for funding

- In proposal budget (PART A): No column 'FC paid' to be filled in by private member/contributing partner paing the FC
  - FC paid are to be specified in Proposal (PART B, table 3.1k) → slide 22

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IKAA in an IHI proposal

"Additional activities" must fulfil all below conditions:

1. contribute towards the achievement of objectives of the IHI projects, or towards the dissemination, sustainability or exploitation of the IHI project results
2. not be funded by the IHI or any other Union funding programme
3. be carried out in the Union or in countries associated with HE (i.e. cannot be 'non-EU')
4. be described in the Annex on IKAA of the Proposal (using these Instructions)
5. not be project task(s) (i.e not IKOP as per DoA) – no double counting

Only for private members

IKAA **≤ 40%** of (IKOP + IKAA) from private members at Programme level.

No limit at project level but if 40% exceeded → PM(s) to inform association(s) office(s)

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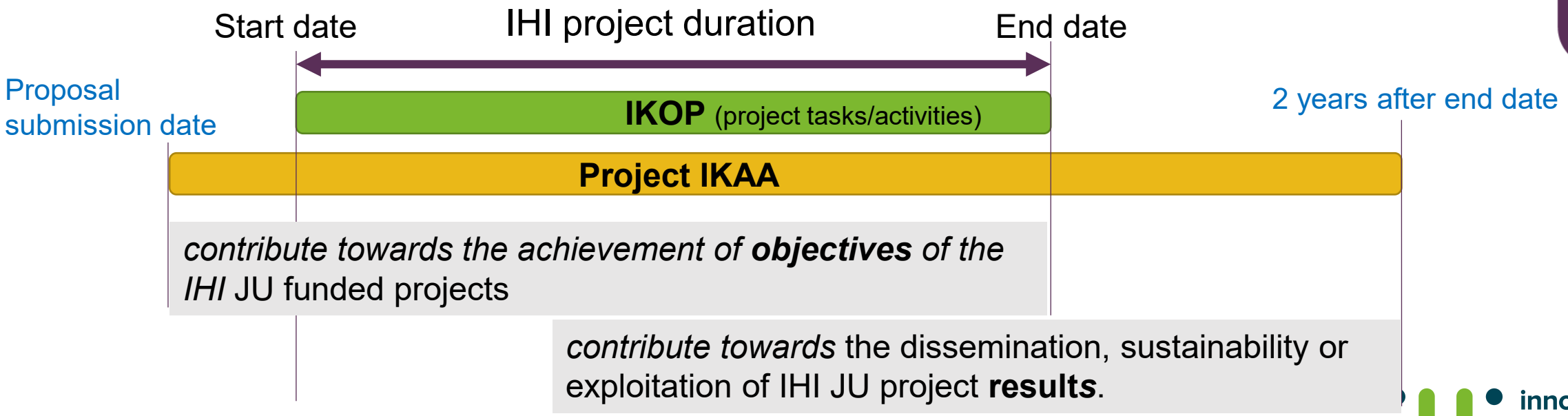
IKAA versus IKOP

- **IKOP**: cost must incur during the project implementation
- **IKAA**: costs can incur between the date of submission of the proposal and up to 2 years after project end date



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One key threshold

Min 45% Industry contribution

"Private members, their constituents or affiliated entities and contributing partners must contribute at least 45% of the action's (eligible costs and additional activities)"

(IKOP + FC + IKAA) ≥ 45% of action's (eligible costs + IKAA)

If threshold not reached collectively → Proposal **NOT evaluated**

IHI budget tool to verify if your proposal reaches 45%

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Useful documents

- **Info session - Rules and procedures for Call 3**
  - ❑ PowerPoint presentation
  - ❑ Recording
- **Info Session - Preparing the financial part of the proposal of Call 3**
  - ❑PowerPoint presentation
  - ❑Recording
- Model Grant Agreement (MGA) for Horizon Europe, including Annex 5 applicable to Jus
- Draft AGA annotated version of MGA (no annotations for Annex 5)
- Frequently asked questions for IHI Calls
- IHI Guide for applicants
- Draft IKAA guidelines
- Becoming a contributing partner
- IHI budget tool (available for single-stage calls only)

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Thank you for your attention

ihi.europa.eu

If you have additional questions, please write to
infodesk@ihi.europa.eu

COCIR Advancing Healthcare

efpia

EuropaBio The European Association for Bioindustries

MedTech Europe from diagnosis to cure

Vaccines Europe

Co-funded by the European Union